CF/046

## DECLARATION AND POWER OF ATTORNEY

I, Andrew C. Gilbert (deceased), declare that I am a citizen of the United States, residing and having a post office address at 5 Scarelt Oak Road, Califon, New Jersey, 07830;

that I verily believe myself to be the original, first, and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## SYSTEMS AND METHODS FOR CREATING AND TRADING DYNAMIC SECURITIES

the specification of which

- [ ] is attached hereto
- [X] was filed on <u>December 27, 2001</u>
  as Application No. <u>10/042,371</u>

that I have reviewed and do understand the content of said specification, including the claims, and acknowledge my duty to disclose to the United States Patent and Trademark Office information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

that I do not know and do not believe that this invention or discovery was ever known or used in the United States of America before my invention or discovery thereof, or patented or described in any printed publication in any country before my invention or discovery thereof, or more than one year prior to this

application; or in public use or on sale in the United States of America more than one year prior to this application; that this invention or discovery has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application; and that no application for patent or inventor's certificate on this invention or discovery has been filed in any country foreign to the United States of America by me or my legal representatives or assigns;

and I hereby appoint Francis G. Rushford, Esq., Reg. No. 34,421, Laurence S. Rogers, Esq., Reg. No. 28,465, Jeffrey H. Ingerman, Esq., Reg. No. 31,069, and Joel Weiss, Esq., Reg. No. 44,398, my attorneys, with power of substitution, and with power of appointment of associate attorneys and agents, and of revocation of their powers, to prosecute this application and any divisions, continuations in whole or in part, renewals and reissues of the same, and to transact all business in the Patent and Trademark Office connected therewith;

and I request that communications be sent to:

Joel Weiss Fish & Neave 1251 Avenue of the Americas New York, New York 10020-1104

and that telephone calls be directed to:

Joel Weiss (212) 596-9000.

Wherefore, I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and I hereby subscribe my name to the foregoing specification and claims, and declaration.

I declare, further, that I understand the English language and that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code

and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor Andrew C. Gilbert (deceased)

Signed for first inventor by legal representative (wife) pursuant to 37 C.F.R. § 1.42

Mary Ann Gilbert | 2 Miz Date

Legal representative's residence <u>5 Scarlet Oak Road</u>,

<u>Califon</u>, New Jersey 07830

Legal representative's citizenship <u>USA</u>

Legal representative's post office address <u>5 Scarlet Oak</u>

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First inventor's citizenship <u>USA</u>

First inventor's last known post office address <u>5 Scarlet</u>

<u>Oak Road</u>, <u>Califon</u>, <u>New Jersey 07830</u>



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Andrew C. Gilbert Applicant

10/042,371 Confirmation No.: 6761 Application No. :

December 27, 2001 Filed

SYSTEMS AND METHODS FOR CREATING AND For

TRADING DYNAMIC SECURITIES

2164 Group Art Unit

: Not yet assigned Examiner

BOX MISSING PARTS Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

## SUBMISSION OF EXECUTED DECLARATION AND POWER OF ATTORNEY

sir:

The executed combined Declaration and Power of Attorney (attached hereto) is to be filed in application No. 10/042,371, which was filed on December 27, 2001. A copy of the specification as filed is also attached hereto.

Respectfully submitted,

I Hareby Contact the this Correspondence is being Deposited with the U.S. Postal Doction on Paret Ciens Mail in an Envelope lezaran ing ting

Peter Withstandley

Registration No. P-53,784

Jan. 22, 203 Agent for Applicant

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